

## NEW INVESTOR APPLICATION - LEGAL ENTITY

### When you should use this form

This application is intended for new legal entity investors who do not yet have any investment with us. If the legal entity is already invested with us and wishes to invest in a new fund please use the **Additional Unit Trust Investment (B-2)** form.

### Important Information

1. Capricorn Asset Management is the Administrator of the investment platform.
2. Please refer to the Investment platform fund summary and the Investment platform terms and conditions, which together with the terms and conditions herein shall, upon approval of this application, become the binding and enforceable agreement between yourself and the Administrator; for all information regarding the Administrators' processes, fees and the funds on offer. These documents are available at any Bank Windhoek branch, your financial advisor or via our website at [www.cam.com.na](http://www.cam.com.na) ("website"). For any queries contact our sales & channel support at (+264 61) 299 1950 or via email [cam.info@capricorn.com.na](mailto:cam.info@capricorn.com.na)
3. This application may be submitted to your financial advisor or handed in at any Bank Windhoek branch or the offices of the Administrator at 3<sup>rd</sup> Floor Capricorn Corner, c/o Nelson Mandela and Hofmeyer Street, Klein Windhoek, Windhoek.
4. Applications will only be processed once all fields are duly completed and the required documents have been received. Funds may be transferred once confirmation is received that your application is approved.
5. All documents submitted must be **originally certified copies not older than 3 months**.
6. The minimum opening balance for an investor is N\$ 75,000.

### Required Documents

Certified Copies of the required FIA documents as described in the **FIA Annexure A**.

### If Applicable

Mandate Holder Details Annexure (for every signatory)  
 Switch & Phase-in Annexure

### Legal Entity Details

Legal Entity Name		Reg. No.	
VAT No.		VAT Reg. Date	
Financial Year End		Tax Office	
Income Tax No.		Country of Incorporation	
Postal Address		Physical Business Address	
Type and nature of business activities			
Please specify in detail the source/origin of the funds being invested. (See Annexure B for reference)			
Annual turnover		Net monthly business income	
Does an ultimate beneficial owner own 20% or more shareholding of the legal entity? <sup>1</sup> If yes, please complete the UBO & Related Party Information Form A-6.			<input type="checkbox"/> Yes <sup>1</sup> <input type="checkbox"/> No

### Contact Person Details

**Contact person will have viewing/information mandate but no transactional rights unless otherwise specified.**

Surname		Title	
Full Name(s)		Position	
Contact Detail	Work	Email	
	Cell		
ID / Passport No.		Issued By (Country)	

### Authorised Email Addresses (Sec A)

Email		<input type="checkbox"/> Viewing/Information mandate	<input type="checkbox"/> Transaction Rights
Email		<input type="checkbox"/> Viewing/Information mandate	<input type="checkbox"/> Transaction Rights
Email		<input type="checkbox"/> Viewing/Information mandate	<input type="checkbox"/> Transaction Rights

**Digital Channels**

Do you want access to Capricorn Online? ( <b>Provides Full Access</b> )			<input type="checkbox"/> Yes <sup>2</sup>	<input type="checkbox"/> No
<b><sup>2</sup>If yes, please complete the details of the individual(s) who will have access below</b>				
Name & Surname		ID Nr/ Passport		
Email	Cell nr			
<input type="checkbox"/> Viewing/Information mandate only		<input type="checkbox"/> Transaction Rights		
Name & Surname		ID Nr/ Passport		
Email	Cell nr			
<input type="checkbox"/> Viewing/Information mandate only		<input type="checkbox"/> Transaction Rights		
Name & Surname		ID Nr/ Passport		
Email	Cell nr			
<input type="checkbox"/> Viewing/Information mandate only		<input type="checkbox"/> Transaction Rights		
Do you want access to Bank Windhoek Electronic Channels? ( <b>Provides Limited Access</b> )			<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Nominated Bank Account(s)**

This bank account(s) will be the account to which all instructions will be processed. The "Nominated Bank Account(s)" should be in the legal entity's name for the Administrator to perform any future transactions as per the investor's instructions. The Administrator requires a 6-month bank statement of the legal entity's "Nominated Bank Account(s)". No 3<sup>rd</sup> party payments will be allowed.

**Nominated Bank Account nr.1**

Name of Bank	Account No		
Branch Name	Branch No		
Account Type (only Cheque/Transaction and Savings accounts – no credit cards)	<input type="checkbox"/> Cheque/Transaction	<input type="checkbox"/> Savings	

**Nominated Bank Account nr.2**

Name of Bank	Account No		
Branch Name	Branch No		
Account Type (only Cheque/Transaction and Savings accounts – no credit cards)	<input type="checkbox"/> Cheque/Transaction	<input type="checkbox"/> Savings	

**Signing Arrangements**

The following person(s) is/(are) authorized signatory/(ies) on behalf of the Legal Entity and any instruction submitted must be signed as indicated along with the **Mandate Holder Details Annexure** for each.

<b>Please indicate the type of signatory</b>	<input type="checkbox"/> A single signatory can authorise instructions	<input type="checkbox"/> Two signatories needed to authorise instructions
<b>Full Name(s) and Surname</b>	<b>Designation</b>	<b>ID/Passport No.</b>

**Unit Trust Investment Details**

Fund Name	Income Distribution Option <sup>3</sup> (Income on Account)	Recurring Instruction Amount <sup>4</sup>	Lump Sum Investment
Capricorn Selekt Fund		N\$	N\$
Capricorn Investment Fund		N\$	N\$
Capricorn Corporate Fund		N\$	N\$
Capricorn Corporate Income Fund		N\$	N\$
Capricorn Enhanced Cash Fund		N\$	N\$
Capricorn High Yield Fund		N\$	N\$
Capricorn Stable Fund		N\$	N\$
Capricorn Premier Fund		N\$	N\$

Capricorn Managed Fund		N\$	N\$
Capricorn Bond Fund		N\$	N\$
Capricorn Property Fund		N\$	N\$
Capricorn Equity Fund		N\$	N\$
Capricorn International Fund		N\$	N\$
Capricorn Global High Yield Fund		N\$	N\$
<b>Other</b>			
		N\$	N\$
		N\$	N\$
		N\$	N\$
<b>Total</b>			<b>N\$</b>

<sup>3</sup>Fund distributions are reinvested automatically. For Capricorn Unit Trust funds only, you have the option to either have the distributions paid out to your Nominated Bank Account ("N" in Income Distribution Option column) or for it to be paid out to any of your existing Capricorn Unit Trust Funds (specify the fund name in the Income Distribution Option column). Leaving the Income Distribution Option column blank will indicate the default reinvestment option.

Should you have more than one Nominated Bank Account please indicate to which account the distributions should be paid.  Nr.1  Nr.2

### Recurring Instructions

<sup>4</sup>Should you want to setup a recurring monthly instruction on a specific fund please indicate amount in the "Recurring Instruction Amount" and indicate below whether it is a recurring monthly deposit or a recurring monthly withdrawal.

Recurring Deposit  Recurring Withdrawal

Start Date	End Date
Monthly Instruction Day	Annual Escalation %/N\$

If you have more than one Nominated Bank Account please indicate to/from which account the instructions should be processed (if applicable)  Nr.1  Nr.2

### Payment Option

<input type="checkbox"/> Electronic Funds Transfer (EFT) (you transfer to us)	<p>Once confirmation is received that your application is approved, the funds can be transferred to our bank account: The banking details are available on the following platforms:</p> <ul style="list-style-type: none"> <li>• Capricorn Asset Management website</li> <li>• Capricorn Online</li> <li>• Bank Windhoek Internet Banking Platform</li> </ul> <p>Please use your legal entity name as reference e.g. "JDoe01Jan90".</p> <p>Please email proof of payment to the Administrator at <a href="mailto:cam.service@capricorn.com.na">cam.service@capricorn.com.na</a></p>
<input type="checkbox"/> Electronic Collection <sup>5</sup> (we collect from you)	<p>The funds will be collected from the Nominated Bank Account limited to a maximum of N\$ 1,000,000 per day from any Bank Account.</p> <p><b>NOTE:</b> A 3-day hold on funds collected is applied before any withdrawals will be processed.</p>

<sup>5</sup>Should you have more than one Nominated Bank Account please indicate from which we should collect  Nr.1  Nr.2

### Financial Advisor and Fee Details

I declare that I choose not to receive any financial advice in my decision to invest and as a result no advisory fees are payable on my investment.

I hereby confirm that the person or firm, whose details are completed below, is my appointed financial advisor and I agree to pay the fees indicated below on my investments until otherwise specified (please complete the section below). This authorisation includes rebalancing my portfolio, switching between different portfolios, changing income levels, effecting additional investments on my portfolio and repurchasing on my behalf into my bank account. No 3rd party payments will be allowed.

Financial Advisor Name		Advisor Code	
Practice / Company Name			
Initial Advice Fee (Once Off Fee)	%	Negotiable to a maximum of 2%, applicable to each contribution and deducted before an investment is made.	
Recurring Advice Fee	%	Negotiable to a maximum of 2% of the investment portfolio market value. The fee is charged proportionally monthly in arrears.	

Recurring Advice Fee continued	The Annual Fee selected above should be deducted from the investor's investment portfolio as follows:		
	<input type="checkbox"/> Highest valued Fund	<input type="checkbox"/> Proportional from all Funds	<input type="checkbox"/> Other Fund (please specify)
Discretionary Mandate Declaration	<input type="checkbox"/> Viewing/Information mandate only	<input type="checkbox"/> Transaction Rights (Full mandate) (sign below) <sup>6</sup>	
<p><sup>6</sup>I authorise the Financial Advisor, whose name appears above, to give instructions to the Administrator, and I authorise the Administrator to accept and execute all instructions, except change in banking details, so submitted by the Financial Advisor on my behalf. I further acknowledge that all terms and conditions accepted by my Financial Advisor will be deemed accepted by myself, and that I will be bound by all such terms and conditions.</p>			
Signature of Duly Authorised Contact Person _____			
<b>Financial Advisor Declaration</b>			
<ul style="list-style-type: none"> <li>• I have properly explained all the relevant investment risks to the investor.</li> <li>• I have disclosed and explained all fees and commissions payable by the investor that relate to this investment.</li> <li>• I have identified all applicable parties to this transaction and verified their details under the requirement of the Financial Intelligence Act of 2012.</li> <li>• I declare and warrant that I am duly authorised to render financial services.</li> </ul>			
Signature of Financial Advisor _____			

**Investor Declaration**

<ul style="list-style-type: none"> <li>• I/we the undersigned herewith confirm that I/we are duly authorised to act on behalf of and to legally bind the Legal Entity specified under the Investor Details above.</li> <li>• I/we confirm and warrant (i.e. promise in a way that I/we may be sued for breach of that promise) that all information provided by me/us, or on my/our behalf, to the Administrator, in this form is true, correct, complete and not misleading and I/we undertake to promptly provide updated information to the Administrator in the event of any change thereof.</li> <li>• By my/our signature to this application form I/we acknowledge that I/we have been made aware of, understand and accept <ul style="list-style-type: none"> <li>○ the Capricorn investment platform terms and conditions, and Bank Windhoek Application End-User Licence Agreement (the "Terms"), all of which are binding upon me and which contain important provisions relating to, amongst others, the rights, duties and undertaking of the Administrator and myself towards each other, warranties, information and process arrangements, limitation of liability, provision and use of personal information to name but a few;</li> <li>○ grants the Administrator the authority to view and instruct Bank Windhoek Ltd to allow the Administrator viewing rights on all accounts that the Investor holds or may in future hold with Bank Windhoek Ltd and to obtain any and all information and documentation from Bank Windhoek Ltd that may be required to enable the Administrator to adequately attend to and perform the services and comply with relevant legislation as required;</li> <li>○ that the Terms are available on the Website and as otherwise indicated in the application form are specifically incorporated herein; and</li> <li>○ that the Administrator has the right, without prior notice to me, to change, modify, add to or remove from portions or the whole of the Terms from time to time. Changes to the Terms will become effective upon such changes being posted to the Website. It is my obligation to periodically check these Terms at the Website for changes or updates. My continued use of the Website following the posting of changes or updates will be considered notice (which is not required) of my acceptance to abide and be bound by the Terms and Conditions, including changes or updates thereto;</li> <li>○ that these Terms will also apply to any new/other investments/funds in which the Legal Entity may invest in future on or through the investment platform.</li> </ul> </li> </ul>			
Signature of Duly Authorised Signatories:			Date _____
Name & Surname		Signature	
Name & Surname		Signature	
Name & Surname		Signature	
Name & Surname		Signature	

**For Bank Windhoek Branches/Broker House/CPW/CAM Official Use Only:****(Please email to [cam.service@capricorn.com.na](mailto:cam.service@capricorn.com.na) and send the original to the Administrator's Office)**

<b>Please select the applicable option and complete the details below.</b>	<input type="checkbox"/> I confirm that I have identified & verified the investor and perused the original documents & verified these documents to be true copies of the originals.	<input type="checkbox"/> I confirm that I have identified & verified the investor.	<input type="checkbox"/> I confirm that I have received & perused the original documents & verified these documents to be true copies of the originals.
Bank Windhoek Branch / Broker House			
Bank Windhoek Official Name / Advisor Name / CAM Official Name			
Employee no / Broker code			
Contact Number			
Signature of Bank Windhoek Official / Advisor / CAM Official			
Lead (ASISA)			
Segment (UTSARBTYP)	<input type="checkbox"/> Digital	<input type="checkbox"/> Retail	<input type="checkbox"/> CPW
	<input type="checkbox"/> CAM wealth	<input type="checkbox"/> IFA	<input type="checkbox"/> Business
	<input type="checkbox"/> Corporate	<input type="checkbox"/> Institutional	

**ANNEXURE A: FIA REQUIREMENTS****Important Information**

- All documents submitted must be **originally certified copies not older than 3 months**.
- When referencing Identification Documents the below is required (Original or certified copy of identification required):
  - Namibian Citizens/Residents:**
    - Namibian ID
    - Namibian Driver's Licence (new licence rolled out since 2022 displaying full personal details)
    - Namibian passport (with minimum 6 months validity remaining)
  - Non-residents/Foreigners:**
    - Foreign passport (with minimum 6 months validity remaining and includes visa entry stamp, work visa or residency stamp).

 **Regulated Entities**

- Articles (CM44a) and Memorandum of Association (CM2) (if applicable)
- Certificate of Incorporation of the Company (CM1)
- Certificate to commence business (CM46)
- Certificate of Change of Name of Company (CM9) (if applicable)
- Notice of Registered Office and Postal Address (CM22)
- Contents of Register of Directors, Auditors & Officers (CM29)
- Regulatory Registration Certificate

**NOTE:** All the above documents must contain the Registrar of Company's stamp.

- Appointment letter of Asset Consultant/Administrator
- Latest Signed Trust Register
- Directors'/Trustees' resolution on a company letterhead authorising the investment with the Administrator signed by all directors/Trustees OR extract of the minutes of the directors meeting duly signed and stamped by the Chairman or Company Secretary.
- A list of authorised signatories on a company letterhead with specimen signatures and stating the signing arrangements.
- All directors' and authorised signatories' Identification Documents.
- UBO & Related Party Information Form for all directors/trustees and all Ultimate beneficial owners' with 25% or more and their Identification Documents.
- Proof of Nominated Bank Account.

**Companies – (Pty) Ltd or Ltd**

- Articles (CM44a) and Memorandum of Association (CM2) (if applicable)
- Certificate of Incorporation of the Company (CM1)
- Certificate to commence business (CM46)
- Certificate of Change of Name of Company (CM9) (if applicable)
- Notice of Registered Office and Postal Address (CM22)
- Contents of Register of Directors, Auditors & Officers (CM29)

**NOTE:** All the above documents must contain the Registrar of Company's stamp.

- Shareholder Certificate, Company Status report or Company structure duly signed by company secretary.
- Directors' resolution on a company letterhead authorising the investment with the Administrator signed by all directors OR extract of the minutes of the directors meeting duly signed and stamped by the Chairman or Company Secretary.
- A list of authorised signatories on a company letterhead with specimen signatures and stating the signing arrangements.
- All directors' and authorised signatories' Identification Documents.
- UBO & Related Party Information Form for all directors and all Ultimate beneficial owners' with 25% or more and their Identification Documents.
- Proof of Nominated Bank Account.
- Latest Financial Statements signed by the auditor.

**Closed Corporations**

- Founding statement of the close corporation (CC1)
- Amended Founding Statement (CC2) (if applicable)

**NOTE:** All the above documents must contain the Registrar of Company's stamp.

- Members' resolution on the corporation letterhead authorising the investment with the Administrator OR extract of the minutes of the members' meeting signed by all members.
- A list of authorised signatories on a company letterhead with specimen signatures and stating the signing arrangements.
- All members' and authorised signatories' Identification Documents.
- Proof of Nominated Bank Account.
- Latest Financial Statements.
- Proof of Business Address.

**Partnerships**

- Partnership agreement.
- A partner resolution authorising the investment with the Administrator, along with a list of authorised signatories stating the signing arrangements and specimen signatures signed by all partners.
- All partners' and authorised signatories' Identification Documents.
- Proof of Nominated Bank Account.
- Latest Financial Statements.
- Proof of Business Address.

**Trust – Testamentary Trust**

- Master of the High Court – Letter of Executorship.
- Death Certificate.
- Deceased's Identification Document.
- Executor of the trusts' Identification Documents.
- Proof of Nominated Bank Account.
- Power of Attorney and their Identification Documents (if applicable).

**Trust – Intervivos Trust**

- Trust Deed.
- Trust Certificate/Letter of Registration from the Master of the High Court (in the case of Namibian Trust) or foreign regulator (in the case of foreign trusts).
- UBO & Related Party Information Form for the Founder, all trustees and named capital beneficiaries of the trust.
- All trustees, beneficiaries, signatories and founder's Identification Documents.
- A trustee resolution authorising the investment with the Administrator signed by all trustees, along with a list of authorised signatories stating the signing arrangements and specimen signatures.
- Proof of Nominated Bank Account.
- Proof of Source of Funds.
- Proof of Source of Income.
- Proof of Address (Founder of any one trustee).

**Trust Service Providers**

- Articles (CM44a) and Memorandum of Association (CM2) (if applicable)
- Certificate of Incorporation of the Company (CM1)
- Certificate to commence business (CM46)
- Certificate of Change of Name of Company (CM9) (if applicable)
- Notice of Registered Office and Postal Address (CM22)
- Contents of Register of Directors, Auditors & Officers (CM29)

**NOTE:** All the above documents must contain the Registrar of Company's stamp.

- Shareholder Certificate, Company Status report or Company structure duly signed by company secretary.
- Directors' resolution on a company letterhead authorising the investment with the Administrator OR extract of the minutes of the directors meeting duly signed and stamped by any director.
- A list of authorised signatories on a company letterhead with specimen signatures and stating the signing arrangements.
- All directors' and authorised signatories' Identification Documents.
- UBO & Related Party Information Form for all Ultimate beneficial owners' with 25% or more and their Identification Documents.
- Proof of Nominated Bank Account.
- Latest Financial Statements signed by the auditor.

**Section 21 Companies (e.g. Childcare & other welfare organisations, faith-based organisations, and Non-government organisations)**

- Registration certificate.
- Financial Intelligence Centre (FIC) Registration certificate.
- A resolution by the office bearers authorising the investment with the Administrator, along with a list of authorised signatories stating the signing arrangements and specimen signatures signed by all office bearers.
- UBO & Related Party Information Form for all directors and their Identification Documents.
- Latest Financial Statements.
- Proof of Nominated Bank Account.
- Proof of Source of Funds.
- Proof of Source of Income.
- Proof of Business Address.

**Body Corporate**

- Constitution (if applicable)
- Extract of the minutes of the Annual General Meeting (AGM) duly signed by the office bearers.
- A resolution by the office bearers authorising the investment with the Administrator signed by all office bearers, along with a list of authorised signatories stating the signing arrangements and specimen signatures.
- UBO & Related Party Information Form for all related persons and trustees and their Identification Documents.
- Latest Financial Statements.
- Proof of Nominated Bank Account.
- Proof of Source of Funds.
- Proof of Business Address.

## Annexure B: Source of Funds

### Important Information

1. In the absence of any of the below documentary proof or agreements, an Affidavit needs to be provided.
2. All documents need to be in the Namibian official language, namely English, should it be in any other language an official sworn translated document need to be provided.
3. **“Source of Funds”** means the origin of the funds involved in a business relationship or a single transaction. It includes both the activity that generated the funds used in the business relationship (i.e. the client’s salary, occupation, business activities, proceeds of sale, corporate dividends, inheritance, savings etc.), as well as the means through which the client’s funds were transferred to Capricorn Asset Management obo Clients account.

Source of Funds type	Documentary Proof Requirements
Savings	<ul style="list-style-type: none"> <li>• Statement of savings account reflecting the accumulated savings. The savings history on the bank statement should reflect at least three months data. If the amount was transferred from another bank account less than three months ago, the client must also submit a statement for the bank account from which the funds were transferred.</li> </ul>
Salary/Bonus/Income	<ul style="list-style-type: none"> <li>• A salary/bonus/income slip not older than three months or a letter from the client’s employer confirming the payment.</li> </ul>
Investments	<ul style="list-style-type: none"> <li>• For Namibian and South African banking payments, a statement or letter confirming an investment which is not older than three months or a statement that reflects the disinvestment with proof of payment into the investor’s bank account.</li> <li>• International banking payments from another financial services providers, a statement showing the value and a bank statement reflecting proof of payment into the investor’s bank account are required.</li> </ul>
Sale of a property or asset	<ul style="list-style-type: none"> <li>• <b>Property sold</b> <ul style="list-style-type: none"> <li>○ Copy of the sales contract/transfer letter from the transfer agent (lawyer/conveyancer).</li> <li>○ If the property is in the name of a third party (e.g. a trust or a company), the third party must provide a resolution confirming that the amount in question was paid to the investor and why the amount was paid to the investor.</li> </ul> </li> <li>• <b>Sale of an asset</b> <ul style="list-style-type: none"> <li>○ Sales agreement or an affidavit confirming the sale of the asset.</li> </ul> </li> </ul>
Company Sale/ Shares sold	<ul style="list-style-type: none"> <li>• Copy of the company’s sales agreement.</li> <li>• Copy of the company’s shares sales agreement.</li> <li>• Copy of the Contract Note (if listed shares).</li> </ul>
Company Profits/ Business Income	<ul style="list-style-type: none"> <li>• Copy of the company’s latest audited financial statements or latest management accounts.</li> </ul>
Inheritance, gift or donation	<ul style="list-style-type: none"> <li>• <b>Inheritance</b> <ul style="list-style-type: none"> <li>○ Extract from the will/testament or copy of the distribution account or confirmation from the life insurance provider e.g. Old Mutual /Sanlam/Momentum.</li> </ul> </li> <li>• <b>Gift or Donation</b> <ul style="list-style-type: none"> <li>○ Donation Letter signed by donor explaining the nature of the donation and the Proof of payment made by the donor.</li> </ul> </li> </ul>
Trust donor	<ul style="list-style-type: none"> <li>• A declaration by the trust donor and a copy of the proof of payment of the donation amount to the investor.</li> </ul>
Winnings	<ul style="list-style-type: none"> <li>• A copy of the ticket/slip/receipt indicating winnings and date of winnings.</li> <li>• A bank statement indicating the amount won if the amount was deposited into a bank account.</li> </ul>
Employers Pension Fund	<ul style="list-style-type: none"> <li>• A copy of the letter/statement from employer’s pension fund indicating the amount due and payable to the investor.</li> </ul>
Retirement Annuity	<ul style="list-style-type: none"> <li>• A copy of the letter/statement from the retirement fund indicating the amount due and payable to the investor.</li> </ul>
Preservation Fund	<ul style="list-style-type: none"> <li>• A copy of the letter/statement preservation fund indicating the amount due and payable to the investor.</li> </ul>
Passive income	<ul style="list-style-type: none"> <li>• <b>Rental</b> <ul style="list-style-type: none"> <li>○ Copy of property rental agreements.</li> </ul> </li> <li>• <b>Dividends</b> <ul style="list-style-type: none"> <li>○ Share certificates or a dividend distribution letter signed by the company secretary/director.</li> </ul> </li> </ul>
Insurance Payments	<ul style="list-style-type: none"> <li>• A copy of the letter on the insurer’s letterhead indicating a valid insurance claim and amount due and payable to the investor.</li> <li>• A copy of a bank statement indicating receipt of insurance payment.</li> </ul>
Child/Spousal Support Payments	<ul style="list-style-type: none"> <li>• A copy of the court order for the support payment and banks statements reflecting payments.</li> </ul>
Tax Refund	<ul style="list-style-type: none"> <li>• A copy of the current tax return certificate reflecting tax refund, less than 12 months old.</li> </ul>
Loan Repayment	<ul style="list-style-type: none"> <li>• A copy of the loan agreement. In the absence of a formal loan agreement the client should provide and affidavit.</li> </ul>
Other Third Party	<ul style="list-style-type: none"> <li>• Other third-party source with details on third party source of wealth and funds.</li> </ul>
Other	<ul style="list-style-type: none"> <li>• Relevant official documentation evidencing source of wealth and funds.</li> </ul>