Capricorn Asset Management
a member of Capricorn Group

A-5
v2024.01

MANDATE HOLDER DETAILS

When you should use this form

This section must be completed by an authorised signatory as identified by the Investor, limited to withdrawal & deposit instructions only, or an authorised individual who may receive information on the applicable entity. This document must be accompanied by either the New Investor Application Natural Person (A-1), New Investor Application Legal Entity (A-3), Change in Investor Details Natural Person (A-2), Change in Investor Details Legal Entity (A-4) or Capricorn Digital Channel Registration Form Legal Entity (E-3).

Please select the applicable status:

☐ Ultimate beneficial owner (UBO), with 25% or more ownership & signatory
☐ Related party of the investor & signatory
☐ Signatory only

Required Documents

All documents submitted must be **originally certified copies not older than 3 months.**

☐ Originally certified copy of any of the following documents of the Mandate Holder:

- Namibian ID,
- Namibian Driver's Licence (new licence rolled out since 2022 displaying full personal details),
- Namibian passport (with minimum 6 months validity remaining) or
- Foreign passport (with minimum 6 months validity remaining and includes visa entry stamp, work visa or residency stamp).

Investor Details

Include Investor details (Account on which you are a mandate holder)			
Full Name(s) & Surname		Client No.	
Legal Entity Name			

Mandate Holder Details

Surname				Existing Client No. (If applicable)		
Full Name(s)				Title		
Country of Residence				Gender	☐ Male	☐ Female
Role/Relationship				Date of Birth		
ID / Passport No.				Issued By (Country)		
Marital Status	☐ Single	☐ Divorced	☐ Widowed	☐ ANC with Accrual	☐ Married In Community	☐ Married Out of Community
Contact Detail	Cell		Email			
	Work		Home			
Postal Address			Residential Address			

Employment Type	☐ **Salary Earner** (please complete below)	☐ **Minor**	☐ **Unemployed**
Name of Employer		Monthly Net income	N$
Position at Workplace			
☐ **Self Employed** (please complete below)			
Provide details of type and nature of business		Net Monthly Business Income/ Turnover	N$
☐ **Pensioner** (please complete below)			
Pension Fund		Monthly Net income	N$

Digital Channels Access

Only to be completed if the Investor has given permission for Capricorn Online access			
ID / Passport No.		Cell No	
Email			

Investor Declaration

- I confirm and warrant that all information provided, by me or on my behalf, to the Administrator, in this form is true, correct, complete and not misleading and I undertake to promptly provide updated information to the Administrator in the event of any change thereof.
- By my signature to this application form I acknowledge that I have been made aware of, understand and accept –
  - the Capricorn investment platform terms and conditions and Bank Windhoek Application End-User Licence Agreement (the "Terms"), all of which are binding upon me and which contain important provisions relating to, amongst other, the rights, duties and undertaking of the Administrator and myself towards each other, warranties, information and process arrangements, limitation of liability, provision and use of personal information to name but a few,
  - that the Terms are available on the Website and as otherwise indicated in the application form are specifically incorporated herein; and
  - that the Administrator has the right, without prior notice to me, to change, modify, add to or remove from portions or the whole of the Terms from time to time. Changes to the Terms will become effective upon such changes being posted to the Website. It is my obligation to periodically check these Terms at the Website for changes or updates. My continued use of the Website following the posting of changes or updates will be considered notice (which is not required) of my acceptance to abide and be bound by the Terms and Conditions, including changes or updates thereto;
  - that these Terms will also apply to any new/other investments/funds in which I may invest in future on or through the investment platform.

Signature of Mandate Holder_____ **Date** _____

Signature of Investor _____ **Date** _____