Capricorn Asset Management
a member of Capricorn Group

E-2
v2024.01

DIGITAL CHANNELS REGISTRATION FORM – NATURAL PERSON

When you should use this form

This form is only used should an existing investor want to register for **Capricorn Online** and/or **Bank Windhoek Electronic Channels** (including the Bank Windhoek/Capricorn Private Wealth Mobile App and Internet Banking Platforms) to have viewing and transactional capability on their investments.

Important Information

1. Capricorn Asset Management is the Administrator of the investment platform.
2. Please refer to the **Investment platform fund summary** and the **Investment platform terms and conditions** for all information regarding the Administrators' processes, fees and the funds on offer. These documents are available at any Bank Windhoek branch, your financial advisor, or via our website at www.cam.com.na. For any queries contact our Sales & Channel support at (+264 61) 299 1950 or via email at cam.info@capricorn.com.na.
3. This instruction may be submitted to your financial advisor, handed in at any Bank Windhoek branch, at the offices of the Administrator at 3rd Floor Capricorn Corner, c/o Nelson Mandela and Hofmeyer Street, Klein Windhoek, Windhoek or via email at cam.service@capricorn.com.na.
4. **Upon verification by the Administrator, the investor will receive an email to complete the Capricorn Online registration process.**
5. **Only the primary account holder of the Bank Windhoek Mobile App or Internet Banking may load their Capricorn Asset Management investment portfolio.**
6. The cut-off time for all web transactions is 12:00. All transactions received after this cut-off time will be processed on the next business day.

Investor Details

Surname		Client No.		
Full Name(s)		ID Nr/ Passport		
Email		Cell no		
Do you want access to Capricorn Online? **(Provides Full Access)**			☐ Yes	☐ No
Do you want access to Bank Windhoek Electronic Channels? **(Provides Limited Access)**			☐ Yes[1]	☐ No
[1]Bank Windhoek Account Number on which electronic channels should be linked				

Financial Advisor Capricorn Online Access (if applicable)

Financial Advisor Name		Advisor Code	
Practice/Company Name			
☐ Viewing/Information mandate only	☐ Transaction Rights (Full mandate)	☐ Remove user	

Investor Declaration

- By my signature to this application form I acknowledge that I have been made aware of, understand and accept –
  - That all previous declarations made by me as well as the latest Capricorn investment platform terms and conditions and Bank Windhoek Application End-User Licence Agreement (the "Terms") will remain binding upon me.
  - That the Administrator has the right, without prior notice to me, to change, modify, add to or remove from portions or the whole of the Terms from time to time. Changes to the Terms will become effective upon such changes being posted on the Website.

Signature of Investor _____ **Signed at**_____ **Date** _____

Signature of Financial Advisor _____ **Signed at**_____ **Date** _____

For Bank Windhoek Branches/Broker House/CPW/CAM Official Use Only: (Please email to cam.service@capricorn.com.na and send the original to the Administrator's Office)		
I the undersigned confirm that I have identified & verified the investor.	**To be completed by Bank Windhoek Branch/ Financial Advisor/ CAM Official/ CPW Official**	
Bank Windhoek Branch / Broker House		
Bank Windhoek Official Name / Advisor Name / CAM Official Name		
Employee no/ Broker Code		
Contact Number		
Signature of Bank Windhoek Official / Advisor / CAM Official		
☐ Allow E-Trade switched on	☐ Account Purpose Type switched on	☐ Capricorn Online Login