

KNOW YOUR CLIENT (KYC) UPDATE FORM – NATURAL PERSON

Investor Details

Surname		Title	
Full Name(s)		Client No.	
Residential Address			
Cell no			
E-mail Address			
Employment Type	<input type="checkbox"/> Minor	<input type="checkbox"/> Unemployed	
	<input type="checkbox"/> Salary Earner ¹	¹ Name of Employer	
		¹ Position at Workplace	
		¹ Work Industry	
	<input type="checkbox"/> Self Employed ²	² Provide details of type and nature of business and business industry.	
<input type="checkbox"/> Pensioner ³	³ Name of Pension Fund		

Source of Income

Please select and complete all the applicable Source of Income fields below.		
Source of Income Type	Documents Required	Amount (monthly)
<input type="checkbox"/> Salary	<ul style="list-style-type: none"> • Latest Pay slip or; • A letter from the employer stating your net income. 	N\$
<input type="checkbox"/> Allowance	<ul style="list-style-type: none"> • Affidavit and 3-month bank statement. 	N\$
<input type="checkbox"/> Bonus/Incentives	<ul style="list-style-type: none"> • Salary slip or; • A letter from the employer stating your bonus or incentive. 	N\$
<input type="checkbox"/> Business Income	<ul style="list-style-type: none"> • A copy of the company's latest audited financial statements or; • Latest management accounts or; • Letter from the accountants stipulating the annual turnover and net profit for the year 	N\$
<input type="checkbox"/> Pension	<ul style="list-style-type: none"> • A copy of the letter from the pension fund or bank statement reflecting the amount. 	N\$
<input type="checkbox"/> Rental Income	<ul style="list-style-type: none"> • A copy of the property rental agreement 	N\$
<input type="checkbox"/> Dividends	<ul style="list-style-type: none"> • Share Certificate or; • Company Status report 	N\$
<input type="checkbox"/> Investments	<ul style="list-style-type: none"> • Investment statement. 	N\$
<input type="checkbox"/> Farming Activities	<ul style="list-style-type: none"> • Affidavit outlining the income generated from farming activities. 	N\$
<input type="checkbox"/> Other	<ul style="list-style-type: none"> • Please specify 	N\$
Total Source of Income		N\$

Investor Declaration

I, herewith declare that all information provided above by me is true and correct. I acknowledge that the Administrator (Capricorn Asset Management) may report any information provided by me to the Financial Intelligence Centre.

Signature of Investor/Financial Advisor _____ Signed at _____ Date _____