

KNOW YOUR CLIENT (KYC) UPDATE FORM – LEGAL ENTITY

Important Information

1. Individuals must be recorded, i.e natural person (“warm bodies”) and not entities.
2. Signatories who sign this declaration must be an authorised signatory who are authorized to sign on behalf of the entity as recorded on the authorization resolution of the entity or by the appointed financial advisor.
3. Please complete the Change in Investor Details Legal Entity (A-4) if there are any changes in authorised representatives/ shareholding/member interest/other interest.

Required Documents

- ☐ BIPA Form CM23, BO1
☐ Updated Financial Statements

If Applicable

- ☐ Master of the High Court Form BOT2

Investor Details

Legal Entity Name		Client No.	
Business Address			
Contact Person Name & Surname			
Contact no			
E-mail Address			
Nature of business activities			
Net monthly business income			

Control Structure

The individual(s) with ultimate control over management, the operational, or decision-making of the Company/Close Corporation/ Partnership/Trust/Body Corporate/Association

Name & Surname

Ownership Structure

The individual Shareholder/Member/Partner/Trustee/Representative owning 25% or more shareholding/members interest/other interest in the Company/Closed Corporation/Partnership/Trust/Body Corporate/Association are:

Name & Surname	% of Shares/Members Interest/ Other Interest/Voting Rights

Investor Declaration

I, herewith declare that all information provided above by me is true and correct. I acknowledge that the Administrator (Capricorn Asset Management) may report any information provided by me to the Financial Intelligence Centre.

Name of Authorised Representative/Financial Advisor _____

Signature of Authorised Representative/Financial Advisor _____ Signed at _____

Date _____