

BANK WINDHOEK ELECTRONIC CHANNELS REGISTRATION FORM – LEGAL ENTITY

When you should use this form

This form is only used should an existing Legal Entity investor (Trust, CC, Company) want to register for the Bank Windhoek Electronic Channels to have **limited access** that includes viewing balances and limited transactional capability on their investments. The channels includes the Bank Windhoek/Capricorn Private Wealth Mobile App and Internet Banking Platforms.

Important Information

1. The investment platform allows investors easy access to a range of investments. Capricorn Asset Management is the Administrator of the investment platform.
2. **Only the Bank Windhoek account indicated on our records as the Nominated Bank Account of the Legal Entity will be used to link the Capricorn investment portfolio to the electronic channels.**
3. This form may be submitted to your financial advisor, at any Bank Windhoek branch or at the offices of the Administrators' at 4th Floor Capricorn House, 119 Independence Avenue, Windhoek.
4. Please note **"Investor Declaration"** on this form, which declarations you will be deemed to have made upon signing this form.

Investor Details

Legal Entity Name	Client No.	
	Reg. Nr.	

Bank Account details on which electronic channels are linked

Bank Windhoek Account Holder	
Bank Windhoek Account Number	

Complete the below information only if the Legal Entity Account is linked to an individual's electronic channels

Name & Surname		ID Nr/ Passport	
Email		Cell nr	

Investor Declaration

- I have expressly requested Capricorn Asset Management ("CAM") to accept instructions from me and/or to act according to instructions conveyed by me to CAM by means of the Electronic Channels platform which includes the Bank Windhoek/ Capricorn Private Wealth Mobile App and the Bank Windhoek Internet Banking.
- I acknowledge that instructions/documents transmitted via the Electronic Channels:
 - May be fraudulently initiated;
 - may be tampered with prior to being transmitted and during transmission;
 - may be corrupted prior to being transmitted and during transmission;
 - may be fraudulently amended/varied/alterd by persons other than the author or originator thereof;
 - may not, for whatever reason/s, actually be received by CAM and not receive the necessary attention with the result that delays may occur and/or the instruction may not be carried out in its entirety.
- I, accept further, in view of the acknowledgements made above, that CAM exposes itself to considerable risks when accepting instructions/documents transmitted by the Electronic Channels and is thus desirous of being indemnified in the event that:
 - an instruction transmitted from me to CAM is not executed in accordance with my express intention due to, inter alia and including but not limited to, the happening of an occurrence referred to above; or
 - a document transmitted from me to CAM containing personal/confidential information comes into the possession of or is read by a person/s who is not entitled to be in possession of or to read such document.
 - the administrator cannot verify the authenticity of a transaction sent via the Electronic Channels and shall hold the Administrator harmless for the execution of such a transaction regardless of whether initiated by the Investor or not.
- I further expressly authorise CAM to affect any transaction initiated via any of the Electronic Channels to or from the above mentioned Nominated Bank account(s) and do hereby indemnify and hold Bank Windhoek Ltd, CAM, its officers, agents and employees ("the Indemnified Parties") harmless against all claims, loss, demands, liability, actions, proceedings, costs and expenses of whatsoever nature (including the costs incurred in defending or contesting any such claim) which may be made or instituted against any of the Indemnified Parties (other than due to such Indemnified Party's gross negligence or wilful misconduct) and arising out of or in connection with my election to use any of the Electronic Channels in my dealings with CAM, including but not limited to, an occurrence referred to above, and/or CAM acting or failing to act upon the conveyance/transmittal of instructions/documents to or from CAM.
- I hereby expressly indicate that my Nominated Bank account(s) as recorded by Capricorn Asset Management ("CAM") shall include all accounts in my name on which I have access under the "Transactional" section of the Electronic Channels and that I can affect a transaction between any of the accounts listed under this section to or from any of my investment accounts.
- I, irrevocably undertake and warrant that I will not make any demand, claim, institute any action or proceeding against the Indemnified Parties should I incur any damage, loss, costs and/or expenses, arising out of or in connection with my election to use the Electronic Channels in my dealings with CAM, including but not limited to, an occurrence referred to above, and/or CAM acting or failing to act upon the conveyance/transmittal of instructions via the Electronic Channels to CAM and to receive confidential

information from CAM via the Electronic Channels.

- I, hereby acknowledge that it is not practical or reasonable for CAM to establish the authenticity of every message or instruction received by it via the Electronic Channels, which purports to emanate from me.
- I agree that all instructions or commitments and the like which purport to emanate from me via the Electronic Channels shall be deemed to have been given by me in the form actually received by CAM ("the Purported Communication") and that such Purported Communication may, as a result of being fraudulently initiated, the malfunction of equipment, the distortion of communication links and the like, be different to that intended or sent and that the investor shall be bound thereby;
- I agree that I may have to implement and adhere to any procedures and/or restrictions imposed on me by CAM or Bank Windhoek Limited from time to time regarding the use of the Electronic Channels;
- I realize that all instructions sent via the Electronic Channels may generally take up to 2 (two) business days to be processed and are subject to the general terms and conditions of each fund and that of the Investment Platform. CAM shall not be held liable for any change in market conditions and/or unit values during this time.
- I agree that this indemnity will not be affected by any failure by CAM to impose any procedures or restrictions or to ensure that any, or all of them are adhered to; and
- I agree that CAM will not be obliged to act on any Purported Communication.
- I have read, understood and accept the contents of this agreement, together with the terms and conditions as contained in this annexure, I have read, understood and accept the Capricorn investment platform fund summary, the Capricorn investment platform general terms & conditions and the Bank Windhoek Application End-User Licence Agreement, and acknowledge that the terms and conditions as set out therein is specifically incorporated herein and may change from time to time. I have been given the opportunity to discuss its implications with CAM.
- I have read, understood and accept the Capricorn Online Terms & Conditions of CAM's product offering and digital service delivery at, www.cam.com.na that may change from time to time.

IMPORTANT: No change in the investor's detail shall be accepted via electronic means. Please see **Change in Investor Details** form for more information.

Signature of Duly Authorised Signatory(ies) _____ Signed at _____ Date _____

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For Bank Windhoek Branches/Broker House/CPW/CAM Official Use Only: (Please fax to 061-299 1959 or email to cam.service@capricorn.com.na)		
I the undersigned confirm that I have identified & verified the investor.	To be completed by Bank Windhoek Branch / Financial Advisor	To be completed by Capricorn Asset Management Administration Department
Bank Windhoek Branch / Broker House		n.a.
Bank Windhoek Official Name / Advisor Name / CAM Official Name		
Employee no/ Broker Code		n.a.
Contact Number		n.a.
FIA checked by		
Signature of Bank Windhoek Official / Advisor / CAM Official		