

ULTIMATE BENEFICIAL OWNER (UBO) / RELATED PARTY INFORMATION FORM

When you should use this form

- This form must be completed for any ultimate beneficial owner (UBO), with 25% or more ownership, or a related party of the investor and may be signed by the UBO, related party, official acting person of the investor or any mandate holder/signatory as approved by the investor.
- This document must be accompanied by either the New Investor Application Legal Entity (A-3), Change in Investor Details Legal Entity (A-4) or Capricorn Digital Channels Registration Form Legal Entity (E-3).

Please select your applicable status:

- Ultimate beneficial owner (UBO)
- Related party of the investor

Required Documents

- Originally certified copy **not older than 3 months** of the Namibian ID, Namibian Driver's Licence (new licence rolled out since 2022 displaying full personal details), Namibian passport (at least 6 months validity) or foreign passport (at least 6 months validity and includes visa entry stamp, work visa or residency stamp).

Investor Details

Include Investor details

Entity Name		Client No.	
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Personal Details

Surname						
Full Name(s)					Title	
Country of Residence					Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female
Role/Relationship						
Date of Birth						
ID / Passport No.					Issued By (Country)	
Cell No.						
Residential Address						
Marital Status	<input type="checkbox"/> Single	<input type="checkbox"/> Divorced	<input type="checkbox"/> Widowed	<input type="checkbox"/> ANC with Accrual	<input type="checkbox"/> Married In Community	<input type="checkbox"/> Married Out of Community
Occupation						

Declaration

- I confirm and warrant that all information provided, by me or on my behalf, to Capricorn Asset Management (the Administrator), in this form is true, correct, complete and not misleading and I undertake to promptly provide updated information to the Administrator in the event of any change thereof.

Name & Surname _____ Signature _____

Designation/Role _____ Date _____